

EXHIBIT B

SIPC v. BLMIS

08-01789 (CGM)

ACTIVE GOOD FAITH CASES¹

APN	DEFENDANT(S)	CASE STATUS
10-04352	RAR Entrepreneurial Fund LTD, et al.	Judgment entered in S.D.N.Y. on September 23, 2022 in favor of Trustee ²
10-04362	Sage Associates, et al.	Appeal and Cross-Appeal pending in Second Circuit ³
10-04400	Sage Realty, et al.	Appeal and Cross-Appeal pending in Second Circuit ³
10-04468	Ken-Wen Family Limited Partnership, et al.	Appeal pending in S.D.N.Y. ²
10-04539	The Gerald and Barbara Keller Family Trust, et al.	Amended Opinion and Order affirming U.S.B.C. decision entered October 6, 2022
10-04631	151797 Canada Inc., Judith Pencer, Samuel Pencer, et al.	
10-04667	David Gross, et al.	
10-04669	Zieses Investment Partnership, et al.	Summary Judgment Motion pending in S.D.N.Y. ²
10-04709	Andrew M. Goodman	Motion to Withdraw the Reference pending in S.D.N.Y. ²
10-04986	Sharon Knee	Summary Judgment motion pending before this Court; parties have reached settlement in principle
10-05079	Estate of James M. Goodman, et al.	Motion to Withdraw the Reference pending in S.D.N.Y. ²

¹Four cases were settled and the parties entered into settlement agreements during the Report Period. See *Picard v. Frederic Z. Konigsberg, et al.*, Adv. Pro. No. 10-04394; *Picard v. Judd Robbins*, Adv. Pro. No. 10-04503; *Picard v. James B. Pinto Revocable Trust U/A dtd 12/1/03, et al.* Adv. Pro. No. 10-04538 and *Picard v. Estate of Bernard J. Kessel, et al.* Adv. Pro. No. 10-05144; The Bankruptcy Court has closed all of these matters.

²See *Picard v. RAR Entrepreneurial Fund LTD, et al.*, No. 20-cv-01029 (JMF); *Picard v. Kenneth W. Brown*, No. 22-cv-03882 (VEC) (S.D.N.Y.); *Picard v. Zieses Investment Partnership, et al.*, No. 20-cv-02872 (VSB) (S.D.N.Y.); *Picard v. Goodman*, No. 20-cv-04767 (MKV) (S.D.N.Y.); *Picard v. Estate of James M. Goodman*, No. 21-cv-01763 (ER) (S.D.N.Y.); *Picard v. Fairfield Pagma Associates, et al.*, No. 22-cv-03914 (VEC) (S.D.N.Y.)

³See *Malcolm H. Sage v. Picard*, 22-1107 and 22-1210 (2d Cir.); *Malcolm H. Sage v. Picard*, 22-1110 and 22-1212 (2d Cir.).

APN	DEFENDANT(S)	CASE STATUS
10-05083	The S. James Coppersmith Charitable Remainder Unitrust, et al.	
10-05128	JABA Associates LP, et al.	Opinion affirming judgment of the S.D.N.Y. entered September 20, 2022 Second Circuit
10-05169	Fairfield Pagma Associates, et al.	Appeal pending in S.D.N.Y. ²
10-05380	Srione, LLC, an Idaho limited liability company, et al.	
10-05394	Glantz	Defendant's Chapter 7 Bankruptcy pending in the U.S. Bankruptcy Court, Northern District of California, Case No. 20-30749 (DM)
TOTAL: 16		